

# 1 **Getting to the roots of aeroponic indoor farming**

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## 21 **Summary**

- 22 • Vertical farming is a type of indoor agriculture where plants are cultivated in stacked  
23 systems. It forms a rapidly growing sector with new emerging technologies. Indoor  
24 farms often use soil-free techniques such as hydroponics and aeroponics.
- 25 • Aeroponics involves the application to roots of a nutrient aerosol, which can lead to  
26 greater plant productivity than hydroponic cultivation. Aeroponics is thought to  
27 resolve a variety of plant physiological constraints that occur within hydroponic  
28 systems.
- 29 • We synthesize existing studies of the physiology and development of crops cultivated  
30 under aeroponic conditions and identify key knowledge gaps.
- 31 • We identify future research areas to accelerate the sustainable intensification of  
32 vertical farming using aeroponic systems.

33

## 34 **Introduction**

35 A period of rapid development in agricultural technology is underway, with precision dosing,  
36 machine learning, process automation, robotics, gene editing, and indoor farming paving a  
37 revolution in agricultural productivity (Rose & Chilvers, 2018; Klerkx & Rose, 2020). Indoor  
38 farming has expanded quickly within the horticultural sector due to yield consistency and  
39 environmental control capabilities (Benke & Tomkins, 2017). Indoor farming divides into two  
40 broad sectors: greenhouse and vertical farming. Vertical farming has emerged as an  
41 increasingly economic strategy within horticulture, enabling improvements in resource- and  
42 land-use efficiency.

43 Vertical farming involves plant cultivation in vertically stacked irrigation systems, using  
44 artificial or natural light (Fig. 1). This commonly uses soil-free growing environments and  
45 hydroponic or aeroponic irrigation technology (Benke & Tomkins, 2017). Benefits include  
46 urban food production, fewer food miles, seasonal independence of crop production, price  
47 stabilization, product consistency, isolation from pathogen pressures, cultivation at latitudes  
48 incompatible with certain crops (e.g. desert and arctic areas), and utilization of space  
49 including disused buildings or tunnels (Despommier, 2011; Specht *et al.*, 2014; Benke &  
50 Tomkins, 2017). Further benefits include crop production without impacting soil health, and  
51 nutrient recapture and recycling (Benke & Tomkins, 2017). This makes vertical farming land-  
52 and water-use efficient (Despommier, 2011). One commercial forecast suggests that the  
53 vertical farming industry will have annual compound growth of 21.3% to reach an estimated  
54 value of \$9.96 billion by 2025 (Grand View Research, 2019). The potential benefits and  
55 value of indoor vertical farming has caused the proliferation of cultivation technologies  
56 (Benke & Tomkins, 2017; Shamshiri *et al.*, 2018).

57 A driver of technological innovation for vertical farms is minimizing operational costs whilst  
58 maximising productivity. One such expanding technology is aeroponics (Fig. 1). For  
59 example, the number of “aeroponic” patents filed increased from 320 between 1975 and

60 2010 to over 1000 in the last decade (Google Patents, 2020). Aeroponics is thought to  
61 resolve several plant physiological constraints occurring during hydroponic cultivation. This  
62 can include greater oxygen availability within the root bed and enhanced water use  
63 efficiency (Jackson, 1985; Mobini *et al.*, 2015). However, the variety of aeroponic  
64 technologies, species cultivated, and growth conditions makes systematic comparisons of  
65 technologies and growth conditions challenging. Whilst aeroponics can provide advantages  
66 for plant performance, it also requires more extensive farm infrastructure and control  
67 technology compared with the more mature technologies of hydroponics. Therefore,  
68 aeroponics might be less compatible with certain economics, crops, or locations with  
69 intermittent electricity supply. To refine the commercial implementation of aeroponic  
70 horticulture, we examine the effects of aeroponic cultivation upon several aspects of plant  
71 physiology, development and productivity. We identify knowledge gaps and areas for future  
72 plant sciences research to advance this field.

### 73 **What is aeroponic cultivation?**

74 Aeroponics exposes plant roots to nutrient-containing aerosol droplets (Fig. 1). This  
75 contrasts hydroponics, which includes partial or complete root immersion in a nutrient  
76 solution, and drip irrigation involving application of nutrient solution to the rhizosphere (Fig.  
77 1) (Keeratiurai, 2013; Benke & Tomkins, 2017; Lakhier *et al.*, 2018). Within the context of  
78 aeroponics, an aerosol is an ensemble of solid particles or liquid droplets suspended in a  
79 gas phase (Hinds, 1999). In nature, plants including epiphytic orchids and bromeliads  
80 absorb naturally occurring aerosols such as mist through leaves and aerial roots (Zotz &  
81 Winkler, 2013). In horticulture, the most commonly used aerosol generation technology is  
82 high pressure atomisation, where high pressure liquids are forced through a small orifice,  
83 breaking the liquid stream into droplets. This typically generates aerosol droplets of 10-100  
84  $\mu\text{m}$  (Lakhier *et al.*, 2018). Other atomization methods include inkjet printer droplet on-

85 demand generators, low pressure atomisation, and ultrasonic atomisation, which generate  
86 varied droplet size distributions (Reis *et al.*, 2005; Lakhari *et al.*, 2018).

### 87 **Aerosol deposition, capture and nutrient uptake on the root surface**

88 We propose that aeroponic cultivation involves a cycle of aerosol deposition and capture  
89 (Fig. 2a, b). We reason that aerosol droplets become deposited on the root surface, and  
90 coalesce to form a thin, nutrient-dense aqueous film (Fig. 2a). The mechanisms of nutrient  
91 and water uptake during hydroponic and aeroponic cultivation might be similar, because  
92 both involve interaction between an aqueous nutrient solution and plant root. We predict that  
93 root surface thin-film formation is likely governed by aerosol composition, plant root  
94 architecture and environmental properties (Table 1).

95 The thickness of known biological thin-films, such as bacterial biofilms and alveolar  
96 surfactants, range from micrometres to millimetres (Murga *et al.*, 1995; Adams & McLean,  
97 1999; Siebert & Rugonyi, 2008). Therefore, we reason that root surface thin-films might  
98 occupy this range. However, root surface aerosol droplet capture and thin-film formation is  
99 likely to be dynamic and have spatiotemporal heterogeneity (Fig. 2a, b). Mathematical  
100 modelling and experimentation with *Artemisia annua* hairy root cultures predicts that aerosol  
101 droplet size, root architectural properties and root hairs influence droplet deposition and  
102 aerosol capture efficiency (Wyslouzil *et al.*, 1997). Aerosol droplets < 2  $\mu\text{m}$  are thought  
103 unlikely to deposit on the root surface, whilst the deposition efficiency of droplets > 2  $\mu\text{m}$   
104 increases with greater droplet size (Wyslouzil *et al.*, 1997). Root hairs increase droplet  
105 capture efficiency compared with hairless roots (Wyslouzil *et al.*, 1997).

106 Investigation of the formation, thickness, composition and residency times of aeroponically-  
107 produced root surface thin-films could allow aeroponic cultivation systems to be tuned for the  
108 optimal performance of specific crops (Table 1). It would be informative to assess the  
109 interplay between these parameters during root surface thin-film formation and retention for  
110 different crops. This might inform aerosol delivery regimes and characteristics for specific

111 crops at defined developmental stages to ensure water, nutrient and oxygen uptake  
112 supports optimal plant performance.

### 113 **Productivity within aeroponic cultivation**

114 Yields from aeroponic cultivation can exceed compost or hydroponic cultivation for certain  
115 crops (Wyslouzil *et al.*, 1997; Souret & Weathers, 2000; Ritter *et al.*, 2001; Hayden *et al.*,  
116 2004; Kratsch *et al.*, 2006; Chandra *et al.*, 2014). One study reported that yields of  
117 aeroponically cultivated basil, parsley, cherry tomato, squash, bell pepper and red kale  
118 increased by 19%, 21%, 35%, 50%, 53% and 65% compared to soil culture, respectively  
119 (Chandra *et al.*, 2014). Greater saffron bulb growth and unaltered saffron yield has also  
120 been reported under aeroponic horticulture (Souret & Weathers, 2000). Aeroponic cultivation  
121 was also reported to achieve greater tomato fruit mass when aeroponic and hydroponic  
122 cultivation was compared directly (1.95 g/fruit from aeraponics; 1.56 g/fruit from  
123 hydroponics) (Wang *et al.*, 2019).

124 The effectiveness of root crop cultivation by aeraponics depends upon crop variety and  
125 method of cultivation. One study reported a mean root storage increase of more than 20 g  
126 dry weight for cassava cultivated aeraponically compared with drip hydroponic cultivation  
127 (Selvaraj *et al.*, 2019). Another reported potato tuberization to occur 6-8 days earlier than  
128 during aeroponic cultivation (Chang *et al.*, 2012). On the other hand, a separate study  
129 identified that whilst potato minituber yield increased by 70% compared with hydroponic  
130 cultivation, the mean tuber weight was 33% lower (Ritter *et al.*, 2001). In that study, delayed  
131 tuberization only allowed one productive cycle over a year, compared with two productive  
132 cycles for hydroponically grown potatoes (Ritter *et al.*, 2001). Furthermore, whilst aeroponic-  
133 cultivated burdock was reported to accumulate 49% more aerial biomass compared with soil  
134 cultivation, the harvestable root biomass was unaltered (Hayden *et al.*, 2004). We speculate  
135 that differences between these studies might arise from differing cultivation platforms and  
136 environmental- and genotypic variability. For example, (Ritter *et al.*, 2001) attributed delayed

137 tuber formation to enhanced vegetative growth caused by an unlimited nitrogen supply,  
138 whilst (Chang *et al.*, 2012) and (Tokunaga *et al.*, 2020) identified variation between tuber  
139 yield of distinct potato and cassava cultivars during aeroponic cultivation. Therefore, it would  
140 be informative in future to compare and understand the performance of different varieties of  
141 specific crops cultivated aeroponically, under various environmental conditions, to identify  
142 traits compatible with aeroponic cultivation in particular climates.

### 143 **Root zone oxygen, plant productivity and aeroponic cultivation**

144 Root zone aeration supports plant productivity by allowing root respiration (Fig. 3a)  
145 (Armstrong, 1980; Soffer *et al.*, 1991). Reduced root zone oxygen decreases yield, growth  
146 rates, mineral and water uptake (Rosen & Carlson, 1984; Tachibana, 1988; Soffer *et al.*,  
147 1991). In closed growing systems, aeration also prevents the release of gaseous hormones  
148 such as ethylene that can inhibit growth (Weathers & Zobel, 1992; Raviv *et al.*, 2008).  
149 Aeroponic systems provide the advantage that roots can, theoretically, access all available  
150 root zone oxygen, whereas in hydroponic culture, the low water solubility of oxygen means  
151 that dissolved oxygen concentrations may need to be closely monitored when cultivating  
152 certain plant species to ensure that dissolved oxygen concentrations do not become limiting  
153 for plant growth (Jackson, 1985; Goto *et al.*, 1996; Ritter *et al.*, 2001; Wang & Qi, 2010;  
154 Mobini *et al.*, 2015; Gopinath *et al.*, 2017). This can be optimized during hydroponics  
155 through regular nutrient solution cycling, or bubbling oxygen into the nutrient solution (Fig.  
156 1).

157 Aeroponics allows artificial elevation of root zone O<sub>2</sub> to enhance yield. One study identified in  
158 tomato and cucumber a positive linear relationship between root zone O<sub>2</sub> concentration and  
159 growth rates, when root zone gaseous O<sub>2</sub> increased between 5% (v/v) and 30% (v/v),  
160 plateauing above ~35% O<sub>2</sub> (v/v) (Nichols *et al.*, 2002). However, to evaluate the viability of  
161 this strategy it would be helpful to gain better understanding of the relationship between O<sub>2</sub>  
162 concentration and growth rate for other aeroponic-cultivated species.

**163 Relationship between root zone temperature and CO<sub>2</sub> within aeroponic cultivation**

164 In vertical farms, high root zone temperatures inhibit root growth and cause nutrient  
165 deficiency, reducing photosynthetic efficiency (Tan *et al.*, 2002; He *et al.*, 2007; He *et al.*,  
166 2010; He *et al.*, 2013; Choong *et al.*, 2016). This inhibition can be reversed in aeroponic  
167 horticulture by root zone cooling or CO<sub>2</sub> supplementation (Tan *et al.*, 2002; He *et al.*, 2010;  
168 He *et al.*, 2013). For example, cooling the root zone of aeroponic-cultivated lettuce to 20°C  
169 increased root surface area and root/shoot mineral content compared with plants grown at  
170 tropical temperatures (23 - 38°C) (Tan *et al.*, 2002), and similar root-zone cooling in tropical  
171 greenhouses increased lettuce shoot yields (Choong *et al.*, 2016). Furthermore, root zone  
172 CO<sub>2</sub> supplementation of aeroponically grown lettuce, with root zone temperatures of 20 -  
173 38°C, increased the Rubisco concentration and protected plants against photoinhibition,  
174 potentially due to increased NO<sub>3</sub><sup>-</sup> uptake (He *et al.*, 2013). This increased the dry weight of  
175 lettuce shoots and roots by 1.8 and 2.5-fold, respectively, but decreased the shoot:root ratio  
176 at CO<sub>2</sub> ≥ 10,000 ppm (He *et al.*, 2010). Therefore, adjusting the root zone temperature and  
177 CO<sub>2</sub> concentration can improve growth, mineral uptake and nutritional content.

**178 Root exudation and microbial interactions during aeroponic cultivation**

179 Plants release an estimated 20% of assimilated carbon as root exudates, which includes  
180 high and low molecular weight compounds that can inhibit or benefit growth (Kuzyakov &  
181 Domanski, 2000; Badri & Vivanco, 2009; Baetz & Martinoia, 2014; Delory *et al.*, 2016;  
182 Mommer *et al.*, 2016; Huang *et al.*, 2019). It is important to understand the effects of root  
183 exudation during aeroponic cultivation because the nutrient solution is recycled for some  
184 time within closed systems (Fig. 3a). For example, plant autotoxicity can arise from exuded  
185 organic acids within recycled nutrient solutions (Yu & Matsui, 1993; Yu & Matsui, 1994; Asao  
186 *et al.*, 2003; Hosseinzadeh *et al.*, 2017). However, little is known about the types,  
187 concentrations and variation in recycled root exudates for distinct crop species grown using  
188 aeroponic systems, and its consequences for plant performance. Because the physical and



189 chemical properties of nutrient solutions alter when atomized into aerosols (Hinds, 1999),  
190 root exudates might alter chemically or precipitate, changing the effects of exudates on plant  
191 and/or microbial growth. Plants also release volatile organic compounds (VOCs) into the root  
192 zone (Dudareva *et al.*, 2006; Widhalm *et al.*, 2015; Delory *et al.*, 2016; Pickett & Khan, 2016;  
193 Vivaldo *et al.*, 2017) that might partition into the aerosol phase (Odum *et al.*, 1996; Sander,  
194 2015) and, therefore, incorporate autotoxic compounds into aerosol droplets (Fig. 3a).  
195 Incorporation of VOCs into aerosol droplets will change the aerosol vapour pressure,  
196 potentially altering the concentrations of nutrients delivered to the roots. Since root exudate  
197 compounds such as the polysaccharide xyloglucan increase substrate cohesion (Galloway  
198 *et al.*, 2018), exudate compound(s) might alter thin-film retention and nutrient uptake by  
199 changing cohesion and adhesion characteristics at the interface between thin-films and root  
200 surfaces (Fig. 2b, Fig. 3a).

201 Root exudates are important for microbial growth and shaping rhizosphere microbial  
202 communities (De-la-Peña *et al.*, 2008; Chaparro *et al.*, 2014; Hugoni *et al.*, 2018; Sasse *et*  
203 *al.*, 2018). There are relatively few studies of root microbiome development during aeroponic  
204 cultivation (Fig 3a). One recent study identified that the root-associated microbial community  
205 of aeroponically grown-lettuce was dominated by proteobacteria and distinct from microbial  
206 communities present on the germination trays or nutrient solution (Edmonds *et al.*, 2020).  
207 Given that some bacterial species are unculturable after aerosol dispersion (Reponen *et al.*,  
208 1997; Dabisch *et al.*, 2012; Zhen *et al.*, 2013) and each atomization method affects bacterial  
209 membrane integrity and cell survival differently (Fernandez *et al.*, 2019), more extensive  
210 characterization of microbial communities at the root-aerosol interface and within the nutrient  
211 solution will be informative. This might identify beneficial or inhibitory effects of these  
212 microbial communities upon the aeroponic productivity, for a variety of crops throughout their  
213 development. This could inform the development of probiotic microbial treatments to support  
214 biofertilization and biocontrol, including protection of the crop and aeroponic system from  
215 invasion by human and plant pathogens. One method to introduce such probiotics could be

216 to inoculate the seeds at the point when they are moistened to break dormancy and induce  
217 germination.

### 218 **Root morphology and anatomy in aeroponic cultivation**

219 Root morphology and architecture affects aerosol capture and thin-film formation. For  
220 example, aeroponically grown roots can have increased root hair abundance compared with  
221 hydroponically grown roots (Kratsch *et al.*, 2006), which will in turn influence aerosol capture  
222 (Fig. 3b). Given that root hair development is both dynamic and influenced by environmental  
223 heterogeneity and the nutrient or water status of plants (Gilroy & Jones, 2000; Vissenberg *et al.*,  
224 2020), it will be valuable to assess how root hairs develop on aeroponically-grown plant  
225 species at a variety of developmental stages. More research is required to establish which  
226 microscale and/or macroscale root traits are important for aerosol capture at various  
227 developmental stages, considering differences between crops. This knowledge might  
228 influence the aerosol properties (e.g. droplet size) and nutrient dosing regimen that are  
229 administered at each developmental stage to optimize aerosol capture and nutrient- and  
230 water-uptake.

231 Because the anatomy of root cell layers influences nutrient and water uptake (Enstone *et al.*,  
232 2002), it is important to understand how root anatomy might be influenced by aeroponic  
233 cultivation. For example, the exodermal hydrophobic barriers differ between the maize root  
234 hypodermis following aeroponic and hydroponic culture (Fig. 3b) (Zimmermann & Steudle,  
235 1998; Freundl *et al.*, 2000; Meyer *et al.*, 2009; Redjala *et al.*, 2011). Hydroponically grown  
236 maize roots lacked exodermal hydrophobic barriers, whilst hydrophobic barriers were  
237 present in the exodermis, 30 - 70 mm from the root tips, following aeroponic cultivation (Fig.  
238 3b) (Zimmermann & Steudle, 1998). Greater depth of knowledge of root anatomical  
239 specializations during aeroponic culture would be informative across a wider range of crops,  
240 at various developmental stages. We speculate that species with thicker hydrophobic

241 barriers might require longer aerosol atomisation periods, using droplets containing greater  
242 nutrient concentrations.

### 243 **Diel cycles and photoperiod in aeroponic cultivation**

244 The light conditions in indoor farms can be tuned to crop requirements. For example, the  
245 photoperiod influences the growth and development of many plant species (Turner *et al.*,  
246 2005; Song *et al.*, 2015). Since the light spectrum influences the morphology and metabolite  
247 content, altering the spectrum can adjust the shape, flavour, fragrance or nutrient content of  
248 vertically farmed crops (Darko *et al.*, 2014; Dou *et al.*, 2017; Fraser *et al.*, 2017; Holopainen  
249 *et al.*, 2018).

250 The specificity in the timing and intensity of aeroponic nutrient dosing provides opportunities  
251 to align daily aeroponic and lighting regimes for optimal growth (Fig. 3a). Daily fluctuations in  
252 fertilization could be applied, such as day- and night-specific nutrient mixes. This strategy  
253 has been proposed to manipulate the nutrient composition of salad crops (Albornoz *et al.*,  
254 2014), capitalizing on diurnal stomatal opening and transpiration stream activity. By  
255 providing greater nitrogen concentrations to the roots during the dark period and lower  
256 concentrations during the light period, nitrogen over-accumulation within leaves can be  
257 prevented (Albornoz *et al.*, 2014). Diel fluctuations in nutrient concentrations also appear to  
258 increase the yield of some tomato varieties (Santamaria *et al.*, 2004).

259 The relationship between the light/dark cycle and the endogenous circadian rhythm  
260 influences plant growth and development. Laboratory experiments with *Arabidopsis thaliana*  
261 identified that mismatch between the endogenous circadian period and period of the  
262 day/night cycle reduces growth and causes mismanagement of transitory starch reserves  
263 (Dodd *et al.*, 2005; Graf *et al.*, 2010). This relationship between circadian rhythms and light  
264 conditions is important for vertical farms. For example, lettuce growth rates can be estimated  
265 from circadian rhythm parameters of the seedlings, and this information used to transfer the  
266 best-performing seedlings from the nursery to the farm (Moriyuki & Fukuda, 2016). This can

267 maximise the number of individual plants meeting certain growth criteria (Moriyuki & Fukuda,  
268 2016). Similarly, the timing of artificial light and dark cycles during tomato cultivation  
269 influences tomato growth and survival (Highkin & Hanson, 1954). This might explain why  
270 humans selected for a longer circadian period and later circadian phase during tomato  
271 domestication to higher latitudes with longer photoperiods (Müller *et al.*, 2016; Müller *et al.*,  
272 2018). Therefore, knowledge of circadian biology can be exploited to optimize daily lighting  
273 regimes in vertical farms to maximise productivity. In future, it might be possible to exploit  
274 integrated plant growth models that incorporate knowledge of circadian rhythms (Chew *et*  
275 *al.*, 2014) to optimize photo- and thermoperiodic conditions for specific vertically farmed crop  
276 varieties.

## 277 **Conclusions and recommendations for future work**

278 We conclude by suggesting strategic areas of future research to underpin increased  
279 productivity and sustainability of aeroponic vertical farms.

280 1. Understand why aeroponic cultivation can be more productive than hydroponic or soil  
281 cultivation, to inform crop breeding and farm engineering. Potential testable hypotheses  
282 concern altered photosynthetic performance, oxygen availability, stomatal physiology and  
283 water relations, nutrient supply, carbohydrate partitioning, and resource competition within  
284 the root- and aerial-phases of plants in growing trays. This also involves the identification of  
285 why certain genotypes are better suited to aeroponic cultivation, because this might allow  
286 the breeding of varieties with enhanced performance during aeroponic cultivation or  
287 extension of the range of crops that can be cultivated with aeroponics.

288 2. Understand root developmental architecture under standardized aeroponic conditions for  
289 a key range of crops at a variety of developmental stages, and how this differs from  
290 hydroponic- and soil-based cultivation. Growing conditions reflect the local environment,  
291 technologies and crop varieties, so comparing model crops under standardised conditions  
292 might provide insights to inform cultivation conditions.

293 3. Understand the relationship between aeroponic droplet size, nutrient content, droplet  
294 deposition and plant performance. This is important to identify aerosol generation technology  
295 or regimes that are appropriate and most profitable for each crop at a variety of  
296 developmental stages. It will also inform optimization of crop quality and nutrition within  
297 aeroponic systems.

298 4. Understand the relationship between aeroponic fertilization and daily (24 h) cycles upon  
299 crop performance. The relationship between daily cycles of environmental conditions (e.g.  
300 lighting, airflow, temperature, humidity), aerosol supply and composition, and crop  
301 metabolism presents opportunities to adjust crop performance, appearance, nutrient  
302 composition and flavour.

303 5. Establish experimental and analytical frameworks for comparison of vertical farming  
304 technologies for a range of crops. Frameworks should collate productivity metrics and  
305 resource consumption to allow assessment of the environmental and economic sustainability  
306 of each technology. This could underpin more rapid technological development and  
307 collaboration towards improved food security.

308 6. Understand the nature and recycling of root exudates within the nutrient solutions of  
309 closed aeroponic systems. This includes identification of recirculated compound types, their  
310 crop species-dependency, chemical and physical changes in exudates caused by aerosol  
311 generation, and crop performance impacts. This is important for greenhouse and vertical  
312 farm engineering, and pairing crops with optimum cultivation technologies.

313 7. Understand how different aeroponic atomization methods affect microbial community  
314 structure at the root-aerosol interface, and the consequences for crop productivity, crop  
315 protection, food safety and farm engineering.

316 **Author contributions**

317 The article was conceived by BME, JRF and AND. CAG designed and produced the figures.

318 BME, LRM, CAG, BR, JRF and AND wrote the article.

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324

**325 Figure legends**

326 **Figure 1:** Hydroponic irrigation methods include drip irrigation, deep water culture, nutrient  
327 film technique and flood and drain. In drip irrigation systems, a nutrient solution is fed into a  
328 variable growing medium that supports the root system. Deep water culture submerges roots  
329 in nutrient solution, with plants supported by a membrane preventing aerial tissue  
330 immersion. Nutrient film method exposes the bottom of the root bed to a flowing nutrient  
331 solution whilst the top of the root bed remains exposed to air. Flood and drain systems  
332 immerse the root system with a nutrient solution for a period of time. Subsequently, this is  
333 drained and collected into a reservoir to aerate the root bed. Aeroponics atomizes the  
334 nutrient solution, which deposit onto the root surface. Aero-hydro systems atomize nutrient  
335 solution whilst exposing the lower root bed to recirculated nutrient solution. Air pumps are  
336 common during deep water culture and can be added to other systems to increase root zone  
337 oxygen.

338 **Figure 2.** Models for irrigation cycle and nutrient exchange during aeroponic horticulture. (a)  
339 Proposed aeroponic thin-film replenishment cycle. During the deposition phase, aerosol  
340 droplets deposit onto the root surface. Smaller aerosol droplets might access spaces  
341 between root hairs. Droplets might also collide, gain volume and exit the aerosol, landing on  
342 roots or collecting into the nutrient solution at the bottom of the bed. Retention refers to the  
343 accumulation of thin-films over areas of the root surface that persist for a period of time.  
344 These are likely to be heterogeneous, leading to heterogeneous gas exchange and nutrient  
345 uptake. During the decay phase, thin-films will be removed by evaporation and gravity in a  
346 manner dependent upon root architecture, surface tension and relative humidity. Thin-films  
347 are replenished by generation of further aerosol. (b) Model for nutrient uptake and gas  
348 exchange within an aeroponic system. As aerosol droplets become deposited, the quantity  
349 of gas exchange between the root and the environment will decrease and nutrient availability  
350 increase.

351 **Figure 3.** Interactions between aeroponically grown plants and their environment. (a)  
352 Interactions between the aerial and root phases and their environment. Light/dark conditions  
353 and diel nutrient supply cycles might be optimized to enhance plant productivity. The root  
354 zone CO<sub>2</sub> and O<sub>2</sub> concentrations affect plant productivity and have potential for manipulation  
355 to enhance productivity. Volatile organic compounds (VOCs) released into the root zone  
356 might alter the aerosol properties and nutrient availability. Interactions between root exudate  
357 compounds and nutrient solution ions will affect thin-film development and retention. Root  
358 exudates will shape the aeroponic microbial community and microbial exudates might, in  
359 turn, affect crop productivity and protection. (b) Root architecture and anatomy can differ  
360 between hydroponic and aeroponic cultivation, with aeroponically-cultivated roots having  
361 increased root hair abundance and hydrophobic barriers in the exodermis (shown in red)  
362 compared with hydroponic cultivation.

363



364

365 **Table 1.** A variety of factors will influence root thin-film thickness and retention during  
 366 aeroponic cultivation. The aerosol phase describes factors that influence airborne aerosol  
 367 properties, and the thin-film phase refers to factors that influence the deposition, retention  
 368 and decay of root-surface aqueous films. In addition to aerosol physics and chemistry, thin-  
 369 film thickness and retention will depend upon crop type.

	Property	Characteristics	Outcomes	References
Aerosol Phase	Aerosol particle size distribution	Most atomisation techniques will not generate a monodisperse ensemble of aerosol. Aerosol droplet size may change after generation.	Increases in size distribution introduce variation in deposition efficiency across the root system. Larger droplets are more likely to deposit on roots close to the point of aerosol generation.	(Shum <i>et al.</i> , 1993) (Nuyttens <i>et al.</i> , 2007)
	Aerosol particle velocity	After generation, aerosol droplet velocity is generally likely to decrease.	Aerosol particle velocity will impact the aerosol distribution throughout the root system, impacting uniformity of aerosol capture efficiency.	(Shum <i>et al.</i> , 1993)
	Hygroscopicity	The chemical composition of an aerosol will determine its reaction to changes in the relative humidity of the surrounding gas phase. Water will evaporate out of, or condense into, the droplet in response to imbalances	Changes in droplet size distribution.  Changes to nutrient solution electrical conductivity and pH.	(Mitchem <i>et al.</i> , 2006) (Odum <i>et al.</i> , 1996) (Topping <i>et al.</i> , 2005)

		between the water activity of the droplet and root chamber environmental conditions.		
	Electrostatic Effects	Some atomization processes can induce electrostatic charges in aerosol.	Given that both the root and aerosol phase can have charge effects, aerosol droplets might be repelled or attracted to the root system.	(Xi <i>et al.</i> , 2014)
Thin-film Phase	Evaporation rate	Rate of water evaporation from the thin-film to the gas phase.	We predict that evaporation of water from the thin-film will alter pH and electrical conductivity of thin-film nutrient solution.	(Sultan <i>et al.</i> , 2005)
	Gravity	We speculate that at a certain volume, the thin-film will accumulate sufficient mass that gravity will cause it to drip from the root.	We speculate that gravity effects will produce crop-specific and developmental stage variation in the refresh rate of the nutrient solution on the plant root.	This is a testable hypothesis
	Root system architecture	Spatial configuration of all roots (primary, lateral, accessory roots) in three dimensions, which changes during plant development.	Root system density and configuration is predicted to affect aerosol droplet capture efficiency, thin-film thickness, and thin-film residency- and replenishment rate	(Wyslouzil <i>et al.</i> , 1997) (Osmont <i>et al.</i> , 2007)
	Root hair density and length	Root hairs are tubular epidermal protrusions from the root surface. Root hair properties such as	Increased root hair density and length is predicted to capture droplets more effectively than glabrous roots or	(Wyslouzil <i>et al.</i> , 1997) (Grierson <i>et al.</i> , 2014)

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density and length affect the root surface area available for absorption of water and nutrients.

roots with shorter/ fewer hairs, which will affect thin-film formation and residence time.

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Root surface properties and root exudation	Topological features of root surface, and variety of compounds that roots exude by passive and active processes.	We predict that root surface characteristics and the root exudate mixture will affect the formation and residency of thin-films by altering adherence/coherence of aqueous droplets on the root surface.	(Badri & Vivanco, 2009)  (Galloway <i>et al.</i> , 2018)
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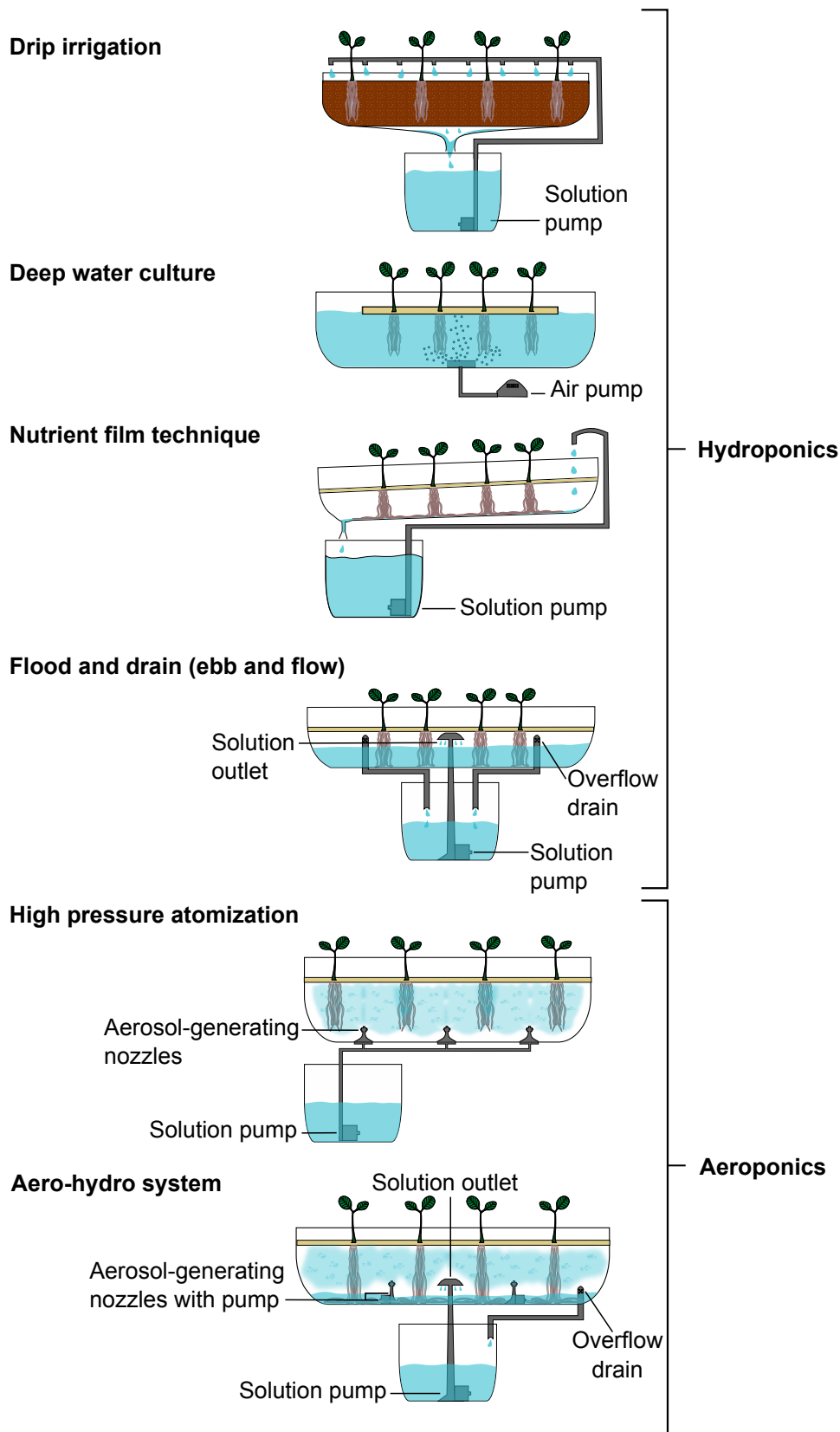
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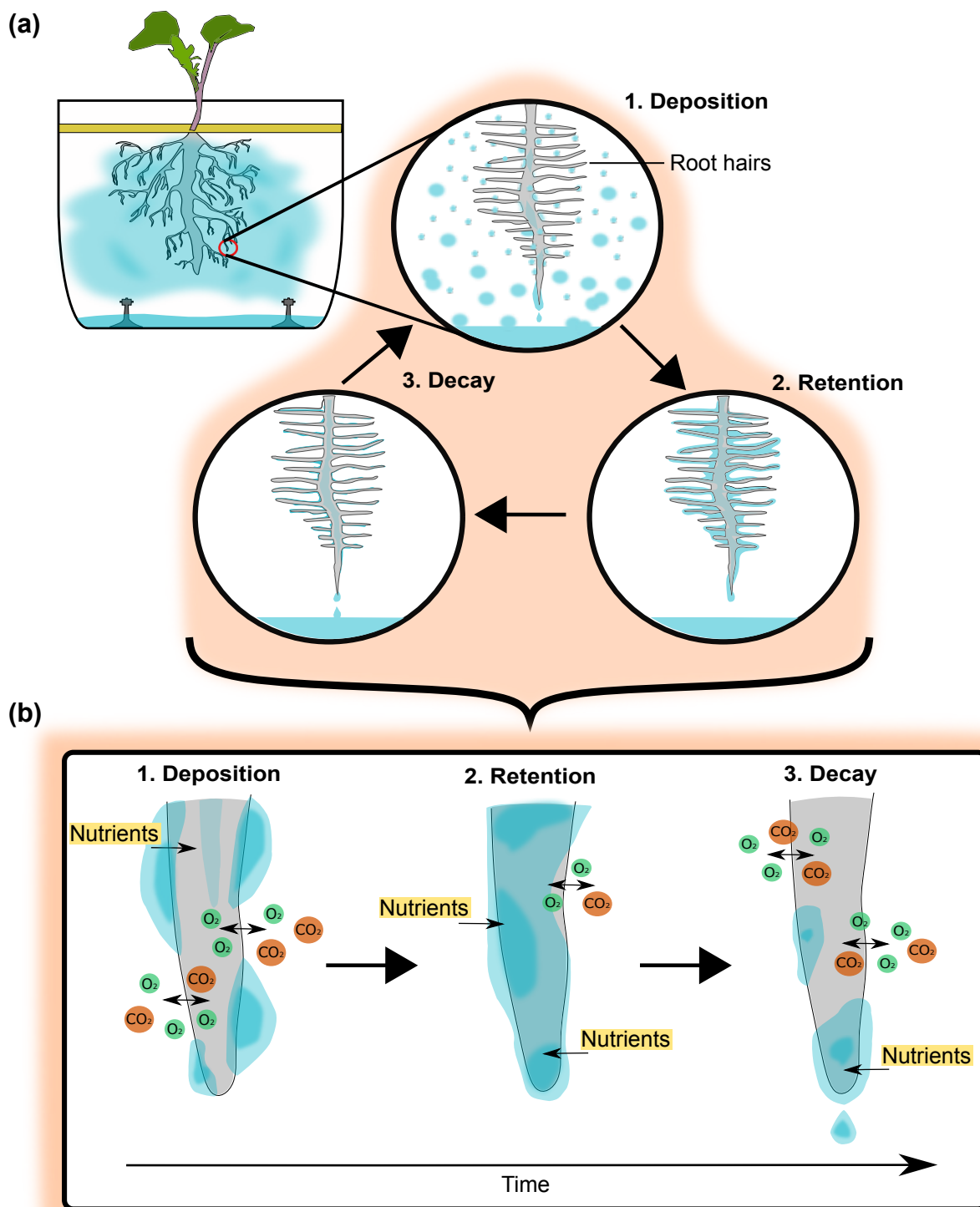


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Eldridge et al. Fig. 1



Eldridge et al. Fig. 2



Eldridge et al. Fig. 3

